



2164

Please type a plus sign (+) inside this box →



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | | |
|--------------------------------------------------------------------------------------------|-----------------------------|--------------------------|----------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/748,888 | |
| | Filing Date | December 27, 2000 | |
| | First Named Inventor | Greene | |
| | Group Art Unit | 2164 | |
| | Examiner Name | Not yet assigned | |
| Total Number of Pages in This Submission | 1 | IBM Docket Number | YOR9-2000-0304 |

| ENCLOSURES (check all that apply) | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Appointment of Associate Attorney/Agent <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) Remarks | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Acknowledgement Postcard. |

RECEIVED

NOV 27 2002

GROUP 0000

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--------------------------------------------|-------------------|
| Firm or Individual name | Kurt M. Maschoff |
| Signature | |
| Date | November 15, 2002 |

| CERTIFICATE OF MAILING | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|------|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: November 15, 2002 | | | |
| Type or printed name | Edith Martin | | |
| Signature | | Date | November 15, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



12/10/02
P4
#10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | |
|-------------------------------------------------------------------------------------------------------|--------------------------------|
| Applicant(s): Greene et al. | Group Art Unit: 2164 |
| Application No.: 09/748,888 | Examiner: Not yet assigned |
| Filed: December 27, 2000 | |
| Title: SYSTEM AND METHOD FOR "SWAPS" STYLE RISK PRODUCTS BASED ON NETWORK ENABLE AGGREGATION | IBM Docket No.: YOR9-2000-0304 |

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

NOV 27 2002

APPOINTMENT OF ASSOCIATE ATTORNEY/AGENT

GROUP 3600

Sir:

Please recognize Kurt M. Maschoff (Reg. No. 38,235), Scott B. Allison (Reg. No. 38,370), Patrick J. Buckley (Reg. No. 40,928), and Nandu A. Talwalkar (Reg. No. 41,339) of BUCKLEY, MASCHOFF, TALWALKAR, & ALLISON LLC, Five Elm Street, New Canaan, CT 06840, (203) 972-0006, as associate attorneys, with full power to prosecute, inspect, and transact all business concerning the above-identified application, including the filing of amendments, continuation applications, divisional applications and continuation-in-part applications.

Respectfully submitted,

Oct 31, 2002
Date

Stephen C. Kaufman
Stephen C. Kaufman
Reg. No. 29,551
(914) 945-3197

IBM CORPORATION
Intellectual Property Law Dept.
P.O. Box 218
Yorktown Heights, NY 10598